



Example 1:

Search for Documents
Containing Certain Key
Words



Example 1: Search for Documents Containing Certain Key Words

Suppose you want to get all 10Ks that contain the following words: **futures**, **options**, **swaps**, and **hedging** for the years 1994-2015. Follow these steps (see the screenshots in the next two slides):

Step 1. Type the words separated by a space **futures options swaps hedging** in the slot "With all of the words".

Step 2. Since we want all the companies, leave the default choice "All" and select the desired time frame 1994-2015.

Step 3. Select **Paragraph (All)**.

Step 4. Select all types of **10Ks**.

Step 5. Click on **SUBMIT** button.

In few **seconds** you will get **17,647** 10Ks.

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Example 1: Search for . . . Key Words (continued)

SEEKINF - HOME

STEP 1: Please enter Phrase(s)/Keyword(s) you wish to search:

With the exact phrase:

The following features are for Single Phrase/Word only: (In case of Multiple Phrases/Words, please use the **Request Form** to submit the request.)

Phrase/Word Counter

Display words before & after

Number of words before: Number of words After:

Proximity Search:

Please input within how many words:

With all of the words:

With at least one of the words:

Without the words:

STEP 4: Please select the Document(s) you want to search here:

All

Select (Please [CLICK HERE](#) for Filing Description): Un-Check All

Annual Reports Check All Annual Reports Un-Check All Annual Reports

10-K 10-KT 10-K405 10KT405 10KSB 10KSB40
 10-K/A 10-KT/A 10-K405/A 10KT405/A 10KSB/A 10KSB40/A

Quarterly Reports & Annual Reports Foreign

10-Q 10-QT 10QSB 20-F 40-F
 10-Q/A 10-QT/A 10QSB/A 20-F/A 40-F/A

Current Reports/Disclosures/Registrations

8-K 6-K S-1 SC 13D SC 13G
 8-K/A 6-K/A S-1/A SC 13D/A SC 13G/A

Ownership & Ownership Money Managers

Form 3 Form 4 Form 5 13F-HR 13F-NT
 Form 3/A Form 4/A Form 5/A 13F-HR/A 13F-NT/A

IPO Filings

424B1 424B3 424B5 424B8
 424B2 424B4 424B7

Proxies & Other Filings

DEF 14A CORRESP (Comment Letters) UPLOAD (Response Letters) AAER
 DEFA14A

Exhibits & Shareholders Letters

PRESS RELEASE SHAREHOLDERS MEETINGS EXHIBIT 95 (10-K)
 CONFERENCE CALLS SHAREHOLDERS LETTER* EXHIBIT 21 (10-K)
 OTHER 8-K EX

*Beta Version: We are working on improving the accuracy of the letters

STEP 2: Please select the options below (Default - ALL):

COMPANY:

From: To:

SIC

(Optional):

STEP 3: Please select the search Resolution here:

Paragraph(All) SOX 404 Mgt Report
 Table SOX 404 Audit Report
 Footnote MD&A
 Audit Report

STEP 5: SUBMIT

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Example 1: Search for . . . Key Words (continued)

STEP 5: SUBMIT

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Download Results

Terra Income Fund 6, Inc.

CIK :1577134
SIC :4911
File Type :10-K
File Date :12-24-2015

PIEDMONT NATURAL GAS CO INC

CIK :78460
SIC :4924
File Type :10-K
File Date :12-23-2015

GOLDEN GRAIN ENERGY

CIK :1206942
SIC :2860
File Type :10-K
File Date :12-22-2015

GREIF INC

CIK :43920
SIC :3412

[View File](#)

[Download Raw File](#)

[Compare](#)

"text-indent:20px; text-align: justify; font-family: Times New Roman, Times, Serif; font-size: 10pt; line-height: 12pt; font-style: normal; font-variant: normal; font-weight: normal; text-transform: none; padding-top: 3pt; padding-right: 0pt; padding-left: 4px; padding-bottom: 3pt; margin-top: 0pt; margin-right: 0pt; margin-left: 0pt; margin-bottom: 0pt">We may hedge against interest rate and currency exchange rate fluctuations by using standard **hedging** instruments, such as **futures**, **options** and forward contracts, subject to the requirements of the 1940 Act. While **hedging** activities may insulate us against adverse changes in interest rates, they may also limit our ability to participate in benefits of lower interest rates with respect to our portfolio of investments with fixed interest rates. During the year ended September 30, 2015, we did not engage in interest rate **hedging** activities.

Related to Business Development Companies – Regulations governing our operation as a BDC and RIC will affect our ability to raise, and the way in which we raise, additional capital or borrow for investment purposes, which may have a negative effect on our growth." We may, however, sell our common stock, or warrants, **options** or rights to acquire our common stock, at a price below the then-current NAV of our common stock if our Board determines that such sale is in our best

Word Distribution:

Download Distribution

WORD COUNT

Total Word Count	51594
Total Word Count without numerics	49250

TOP WORD LIST

WORD LIST	COUNT	PERCENTAGE
the	2710	5.3
of	2126	4.1
to	1592	3.1
and	1460	2.8
in	1024	2.0
our	930	1.8
a	771	1.5

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Example 1: Search for . . . Key Words (continued)

- ◆ The middle panel displays paragraphs containing the key words highlighted in yellow
- ◆ Download the output results (list of all companies along with the company specific information) in MS Excel
 - ❖ By clicking on the “Download Result” on the left hand side (see the screenshot in the slide after the next).
- ◆ The right hand panel shows **WORD DISTRIBUTION** and **FILE ANALYSIS**
- ◆ **WORD DISTRIBUTION** gives you the frequency distribution of all the words in the document for the company highlighted on the left. You can download this list by clicking on the “Download Distribution” button (see a screenshot of this in the second slide after the next).

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Example 1: Search for . . . Key Words (continued)

- ◆ **FILE ANALYSIS** lists the total word count with/without numbers along with the six measures of readability of text in this document. You can download the total word counts and the readability indices through “**Request Form**” as explained in FAQ No. 21.
- ◆ Just above the middle display window, there are three menu items: [View File](#), [Download Raw File](#), and [Compare](#)
 - ❖ [View File](#): Lets you see the file from our Cloud
 - ❖ [Download Raw File](#): Lets you download the file from the SEC site
 - ❖ [Compare](#): Lets you compare two filings for two years

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Example 1: Search for . . . Key Words (continued)

1	ENTERED SEARCH FIELDS:					
2	TERM	RESOLUTION	DOCUMENT	COMPANY	DATE	
3	AND: futures options swaps hedging	Paragraph (A	10-K & 10-K/	ALL	FROM 1994 to 2015	
5	COMPANY NAME	CIK	SIC	DATE	FILE	SEC LINK
7	Terra Income Fund 6 Inc.	1577134	4911	12/24/15	10-K	http://www.sec.gov/Archives/edgar/data/1577134/0001144204-15-072797-index.html
8	PIEDMONT NATURAL GAS CO INC	78460	4924	12/23/15	10-K	http://www.sec.gov/Archives/edgar/data/78460/0000078460-15-000047-index.html
9	GOLDEN GRAIN ENERGY	1206942	2860	12/22/15	10-K	http://www.sec.gov/Archives/edgar/data/1206942/0001206942-15-000113-index.html
10	GREIF INC	43920	3412	12/21/15	10-K	http://www.sec.gov/Archives/edgar/data/43920/0001193125-15-408907-index.html
11	RED TRAIL ENERGY LLC	1359687	2860	12/18/15	10-K	http://www.sec.gov/Archives/edgar/data/1359687/0001359687-15-000028-index.html
12	DEERE JOHN CAPITAL CORP	27673	6153	12/18/15	10-K	http://www.sec.gov/Archives/edgar/data/27673/00015870-15-002873-index.html
13	DEERE & CO	315189	3523	12/18/15	10-K	http://www.sec.gov/Archives/edgar/data/315189/00011047469-15-009300-index.html
14	EATON VANCE CORP	350797	6282	12/18/15	10-K	http://www.sec.gov/Archives/edgar/data/350797/0001144204-15-071650-index.html
15	COOPER COMPANIES INC	711404	3851	12/18/15	10-K	http://www.sec.gov/Archives/edgar/data/711404/0000711404-15-000021-index.html
16	HORMEL FOODS CORP /DE/	48465	2011	12/16/15	10-K	http://www.sec.gov/Archives/edgar/data/48465/000110689-15-085151-index.html
17	DELTA APPAREL INC	1101396	5130	12/15/15	10-K	http://www.sec.gov/Archives/edgar/data/1101396/0001101396-15-000033-index.html
18	Fifth Street Senior Floating Rate Corp.	1577791	5171	12/14/15	10-K	http://www.sec.gov/Archives/edgar/data/1577791/0001577791-15-000018-index.html
19	PRUDENTIAL BANCORP INC.	1578776	6036	12/14/15	10-K	http://www.sec.gov/Archives/edgar/data/1578776/0001571049-15-009946-index.html
20	RGC RESOURCES INC	1069533	4923	12/10/15	10-K	http://www.sec.gov/Archives/edgar/data/1069533/0001193125-15-399923-index.html
21	Westbury Bancorp Inc.	1553326	6022	12/10/15	10-K	http://www.sec.gov/Archives/edgar/data/1553326/0001553326-15-000053-index.html
22	CENTRAL GARDEN & PET CO	887733	5190	12/10/15	10-K	http://www.sec.gov/Archives/edgar/data/887733/0001193125-15-399914-index.html
23	STAR GAS PARTNERS LP	1002590	5990	12/9/15	10-K	http://www.sec.gov/Archives/edgar/data/1002590/0001193125-15-398913-index.html
24	INTL FCSTONE INC.	913760	6221	12/9/15	10-K	http://www.sec.gov/Archives/edgar/data/913760/0000913760-15-000180-index.html
25	Medley Capital Corp	1490349	7311	12/4/15	10-K	http://www.sec.gov/Archives/edgar/data/1490349/0001144204-15-069256-index.html
26	MTS SYSTEMS CORP	68709	3829	12/2/15	10-K	http://www.sec.gov/Archives/edgar/data/68709/0000897101-15-001612-index.html
27	Fifth Street Finance Corp.	1414932	7389	12/1/15	10-K	http://www.sec.gov/Archives/edgar/data/1414932/0001414932-15-000019-index.html
28	UGI CORP /PA/	884614	4932	11/30/15	10-K	http://www.sec.gov/Archives/edgar/data/884614/0000884614-15-000034-index.html
29	WALT DISNEY CO/	1001039	4841	11/25/15	10-K	http://www.sec.gov/Archives/edgar/data/1001039/0001001039-15-000255-index.html
30	SUBURBAN PROPANE PARTNERS LP	1005210	5900	11/25/15	10-K	http://www.sec.gov/Archives/edgar/data/1005210/0001564590-15-011120-index.html
31	TFS Financial CORP	1381668	6035	11/25/15	10-K	http://www.sec.gov/Archives/edgar/data/1381668/0001381668-15-000102-index.html
32	Post Holdings Inc.	1530950	2040	11/25/15	10-K	http://www.sec.gov/Archives/edgar/data/1530950/0001530950-15-000278-index.html
33	RAYMOND JAMES FINANCIAL INC	720005	6211	11/25/15	10-K	http://www.sec.gov/Archives/edgar/data/720005/0000720005-15-000075-index.html
34	LACIEFF GROUP INC	1126956	4924	11/24/15	10-K	http://www.sec.gov/Archives/edgar/data/1126956/0001126956-15-000077-index.html

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Example 1: Search for . . . Key Words (continued)

1	Company Name	CIK	SIC	Date	Filing Type	FileName
2	Terra Income Fund 6 Inc.	1577134		20151224	10-K	0001144204-15-072797.txt
3	TOTAL WORD COUNT	51594				
4	TOTAL WORD COUNT WITHOUT NUMERICS	49250				
5						
6	WORD LIST	COUNT	PERCENTAGE			
7						
8	the	2710	5.3			
9	of	2126	4.1			
10	to	1592	3.1			
11	and	1460	2.8			
12	in	1024	2			
13	our	930	1.8			
14	a	771	1.5			
15	we	681	1.3			
16	or	632	1.2			
17	as	526	1			
18	income	504	1			
19	on	492	1			
20	may	460	0.9			
21	for	449	0.9			
22	be	426	0.8			
23	investment	398	0.8			
24	that	397	0.8			
25	terra	394	0.8			
26	by	323	0.6			
27	are	318	0.6			
28	will	294	0.6			

Word frequency distribution
with total number of words
with and without numbers

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Thanks!

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