



Example 5: Proximity Search

Two or more words within
a number of words
and

Download Results in Excel and html Files

Example 5(a): Find Executive Compensation

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Example 5(a): Executive Compensation

- ◆ Proximity Search feature provides **another powerful tool** to capture needed information that is not available from any other source.
- ◆ Two examples are discussed here to illustrate the process.
 - ❖ Executive compensation
 - ❖ Board members and their bios.
- ◆ This illustration should help you how to identify the right combination of words and phrases to get exactly what you want.



Example 5(a). Find Executive Compensation Tables from DEF 14A

By looking at several samples of executive compensation tables in DEF 14A for a pattern, and trying various different combinations of words and phrases, the two words '**salary**' and '**bonus**' within 2 words using **Proximity Search** got the result. Here are the detailed steps:

- ◆ **Step 1:** Type **salary bonus** in the **Proximity Search** slot separated by a space, and input 2 for how many words in between
- ◆ **Step 2:** Keep the default setting "**All**" in the COMPANY slot with the year **2015**,
- ◆ **Step 3:** Select **Table**; **Step 4:** Select **DEF 14A (Proxy statement)**
- ◆ **Step 5:** Click on **SUBMIT** button
- ◆ Next slide shows the screenshot of the display window for one of the companies.
- ◆ The slide following the next one represents the bonus table in Excel file downloaded directly by clicking on menu item **Download Table in CVS** on the top menu bar above the display window on the right.
- ◆ The above slide is followed by a set of slides describing the process of submitting a **Request Form** to download the data in Excel and html files.

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Example 5(a). Input Information in Seek iNF for Executive Compensation

STEP 1: Please enter Phrase(s)/Keyword(s) you wish to search:

With the exact phrase:

The following features are for Single Phrase/Word only: (In case of Multiple Phrases/Words, please use the **Request Form** to submit the request.)

Phrase/Word Counter

Display words before & after

Number of words

Number of

before:

words After:

Proximity Search:

Salary bonus

Please input within how many words:

2

With all of the words:

With at least one of the words:

Without the words:

STEP 2: Please select the options below (Default - ALL):

COMPANY: All,

Name

From: 2015

To: 2015

SIC

(Optional):

STEP 3: Please select the search Resolution here:

- Paragraph(All) SOX 404 Mgt Report
 Table SOX 404 Audit Report
 Footnote MD&A
 Audit Report

STEP 4: Please select the Document(s) you want to search here:

All

Select (Please [CLICK HERE](#) for Filing Description): Un-Check All

Annual Reports

Check All Annual Reports

Un-Check All Annual Reports

10-K

10-KT

10-K405

10KT405

10KSB

10KSB40

10-K/A

10-KT/A

10-K405/A

10KT405/A

10KSB/A

10KSB40/A

Quarterly Reports & Annual Reports Foreign

10-Q

10-QT

10QSB

20-F

40-F

10-Q/A

10-QT/A

10QSB/A

20-F/A

40-F/A

Current Reports/Disclosures/Registrations

8-K

6-K

S-1

SC 13D

SC 13G

8-K/A

6-K/A

S-1/A

SC 13D/A

SC 13G/A

Ownership & Ownership Money Managers

Form 3

Form 4

Form 5

13F-HR

13F-NT

Form 3/A

Form 4/A

Form 5/A

13F-HR/A

13F-NT/A

Proxies & IPO Filings

DEF 14A

424B1

424B3

424B5

424B8

DEFA14A

424B2

424B4

424B7

Other Filings

N-CSR

N-CSRS

CORRESP (Comment Letters)

AAER**

N-CSR/A

N-CSRS/A

UPLOAD (Response Letters)

Exhibits & Shareholders Letters

PRESS RELEASE

SHAREHOLDERS MEETINGS

EXHIBIT 95 (10-K)

CONFERENCE CALLS

SHAREHOLDERS LETTER*

EXHIBIT 21 (10-K)

OTHER 8-K EX

Public Company Accounting Oversight Board

INSPECTION REPORTS**

SETTLED DISCIPLINARY ORDERS**

ADJUDICATED DISCIPLINARY ACTIONS**

*Beta Version: We are working on improving the accuracy of the letters

**AAER & PCAOB do not have CIK's, they have File number and Firm number respectively

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Example 5(a). Executive Compensation Table in Display Window

STEP 5: SUBMIT

1 - 20 of 3681 < >

Download Results

DS HEALTHCARE GROUP, INC.

CIK :1463959
SIC :2844
File Type :DEF 14A
File Date :12-31-2015

BUTLER NATIONAL CORP

CIK :15847
SIC :7990
File Type :DEF 14A
File Date :12-30-2015

VARIAN MEDICAL SYSTEMS INC

CIK :203527
SIC :3845
File Type :DEF 14A
File Date :12-30-2015

MTS SYSTEMS CORP

CIK :68709
SIC :3829
File Type :DEF 14A
File Date :12-30-2015

MISONIX INC

CIK :880432
SIC :3821
File Type :DEF 14A
File Date :12-30-2015

SIMULATIONS PLUS INC

CIK :1023459
SIC :7373
File Type :DEF 14A
File Date :12-29-2015

CARDICA INC

CIK :1178104
SIC :3841
File Type :DEF 14A
File Date :12-29-2015

View File		Download Raw File		Compare		Download Table in CSV			
Name and Principal Position	Year	Salary (\$)	Bonus (\$)	Stock Awards (\$)	Option Awards and Stock Appreciation Rights (\$)	Non-Equity Incentive Plan Compensation (\$)	Change in Pension Value and Nonqualified Deferred Compensation Earnings(\$)	All Other Compensation (\$)(1)	Total (\$)(2)
Clark D. Stewart, CEO	2015	458	---	---	---	---	---	79	537
President and Director	2014	396	---	---	---	---	---	46	442
	2013	477	9	---	---	---	---	39	525
R. Warren Wagoner	2015	---	---	---	---	---	---	---	---
Director - Chairman of the Board	2014	75	---	---	---	---	---	10	85
	2013	269	5	---	---	---	---	15	289
Craig D. Stewart	2015	246	---	---	---	---	---	40	286
Vice President and Chief Financial Officer	2014	210	---	---	---	---	---	46	256
Officer	2013	214	6	---	---	---	---	44	264
	2015	244	10	---	---	---	---	23	277
Christopher J. Reedy	2015	244	10	---	---	---	---	23	277
Vice President and Secretary	2014	227	---	---	---	---	---	23	250
	2013	230	23	---	---	---	---	26	279



Example 5(a). Submit **Request Form** to Download Executive Compensation Tables

1. In order to download all the tables in Excel and html files, you need to submit a data request by selecting **Request Form** from the top menu bar of the Seek iNF webpage and filling out the details of the data needs (see below and subsequent slides).

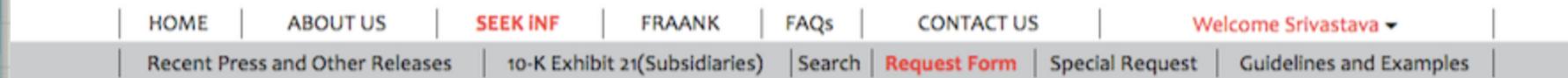


1. After you submit the **Request Form**, the system will acknowledge the receipt through email and send you another email with a zipped file of the data. In this case, the zipped file contains the data in two formats, CSV and html.

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Example 5(a). Steps in Submitting the **Request Form**

2. After selecting **Request Form**, you need to select **Snippet Download/Multiple Phrases/Proximity Search** from the next page (see below).



Click below to **DOWNLOAD**

Multiple Phrases/Proximity Search

Words before and after a Single Phrase

Phrase(s)/Word(s) Count

Proximity Count

File Analysis

Download Snippets of Multiple Phrases or Proximity search criteria.

Please note that this is a BETA version, the testing is still in progress. Please email us if you find any bugs or have any concerns at seekedgar@gmail.com. Thank you.

- SeekEdgar Tech Team

3. After you select the option, you need to fill out the request form as given next.

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Example 5(a). Steps in Submitting the Request Form

Name: Rajendra Srivastava
 Email: seekedgar@gmail.com
 Title: Professor
 University/Company: University of Kansas

Enter the information you want to search for:

STEP 1: Please enter Phrase(s)/Keyword(s) you wish to search:

With the exact phrase:

Proximity Search:
 Please input within how many words:

With all of the words:

With atleast one of the words:

Without the words:

STEP 2: Please select the options below (Default - ALL):

COMPANY: All Name

From: To*:

*(Beta Version : The time period is limited to five years maximum.)

SIC: (optional)

STEP 3: Please select the search Resolution here:

- Paragraph(All)
- Table
- Footnote
- Audit Report
- SOX 404 Mgt Report
- SOX 404 Audit Report
- MD&A

STEP 4: Please select the Document(s) you want to search here:

All
 Select:

Annual Reports

10-K 10-KT 10-K405 10-KT405 10-KSB 10-KSB40
 10-K/A 10-KT/A 10-K405/A 10-KT405/A 10-KSB/A 10-KSB40/A

Quarterly Reports & Annual Reports Foreign

10-Q 10-QT 10-QSB 20-F 20-F
 10-Q/A 10-QT/A 10-QSB/A 20-F/A 40-F/A

Current Reports/Disclosures/Registrations

8-K 6-K S-1 SC 13D SC 13G
 8-K/A 6-K/A S-1/A SC 13D/A SC 13G/A

Ownership & Ownership Money Managers

Form 3 Form 4 Form 5 13F-HR 13F-NT
 Form 3/A Form 4/A Form 5/A 13F-HR/A 13F-NT/A

IPO Filings

424B 424B3 424B5 424B8
 424B2 424B4 424B7

Proxies & Other Filings

DEF 14A CORRESP(Comment Letters) UPLOAD(Response Letters) AAER
 DEFA14A

Exhibits & Shareholders Letters

PRESS RELEASE SHAREHOLDERS MEETING EXHIBIT 95(10-K)
 CONFERENCE CALLS SHAREHOLDERS LETTER EXHIBIT 21(10-K)
 OTHER 8-K EX

Filled out Request Form with specific data needs

Step 5: Submit

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Example 5(a). Steps in Submitting the **Request Form**

Message related to **Request Form** after submission

[HOME](#)

[ABOUT US](#)

[SEEK INF](#)

[FRAANK](#)

[FAQs](#)

[CONTACT US](#)

[Welcome Srivastava](#) ▼

[Recent Press and Other Releases](#)

[10-K Exhibit 21\(Subsidiaries\)](#)

[Search](#)

[Request Form](#)

[Special Request](#)

[Guidelines and Examples](#)

Click below to **DOWNLOAD**

[Multiple Phrases/Proximity Search](#)

[Words before and after a Single Phrase](#)

[Phrase\(s\)/Word\(s\) Count](#)

[Proximity Count](#)

[File Analysis](#)

Please note that this is a BETA version, the testing is still in progress. Please email us if you find any bugs or have any concerns at seekedgar@gmail.com. Thank you.

- SeekEdgar Tech Team

Thank you for submitting the request. You would receive an email shortly with the information you requested, for your reference. Please wait until you receive the results before submitting another request. Our system processes only one request per user at a time.

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Example 5(a). Steps in Submitting the Request Form

Your Request for SeekEdgar

Inbox x



seekedgartech@gmail.com

3:54 PM (23 hours ago)

to me, me

Hi Rajendra Srivastava,

You have requested for MultiplePhrase with the following search information.

Proximity Search : salary bonus **Within** : 2

Company : 1463959 15847 203527 68709 880432 1023459 1178104 33619 783412 825324

From : 2015 **To** : 2015

Resolution Type : table

Filings : DEF14A

Thank you for your request. We will complete your search request and get back to you with results as soon as possible.

Please wait until you receive the results before submitting another request. Our system processes only one request per user at a time.

Thank you,
SeekEdgar Team.

Email Confirming the Receipt of the Request Form



Example 5(a). Data Received in a Zipped File

Email informing that the requested data is ready to be downloaded.

Your Request for SeekEdgar

Inbox x



seekedgartech@gmail.com

3:57 PM (23 hours ago) ☆

to me, naaser06

Hi Rajendra Srivastava,

Your requested has been **completed**.

Please **copy and paste** the link below in the browser address window to download the **Result Folder**:

https://www.seekinf.net:8443/download.jsp?file=seekedgar_20160318205759.zip

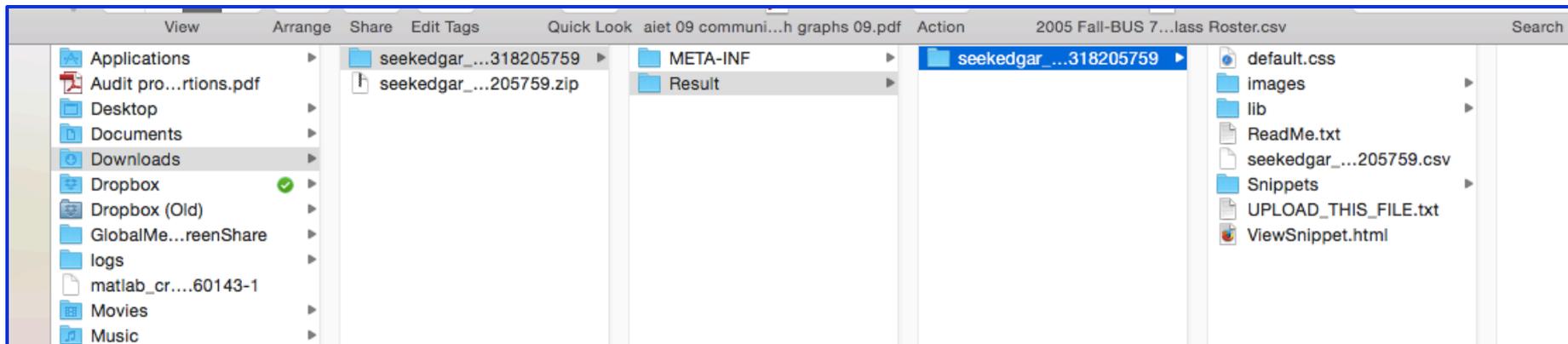
Thank you,
SeekEdgar Team.

The above process will download the data. Save it in your computer. The data is in a zipped folder. Unzip the folder, open it and follow the steps given in the next slide.

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Example 5(a). Download Executive Compensation Tables using **Request Form**

1. Here is a screenshot after unzipping the downloaded file:



1. As you can see above, the last column contains the data in two formats, CSV and html (UPLOAD_THIS_FILE.txt). You can open the CSV file in Excel by just clicking on it. But for the html file you need to first open ViewSnippet.html and then open UPLOAD_THIS_FILE.txt as shown below.





Example 5(a). Executive Compensation Tables in html Format

CIK	COMPANY NAME	SIC	FILE TYPE	FILE DATE	SEC LINK	SNIPPET																																			
1463969	DS HEALTHCARE GROUP, INC.	2844	DEF 14A	12-31-2015	SEC Link	<table border="1"> <thead> <tr> <th>Name/Principal Position</th> <th>Year</th> <th>Salary (\$)</th> <th>Bonus (\$)</th> <th>Stock Awards</th> <th>Options (\$)</th> <th>Non-Equity Incentive Plan Co</th> </tr> </thead> <tbody> <tr> <td>Daniel Khesin,</td> <td>2014</td> <td>\$250,000(2)</td> <td>—</td> <td>—</td> <td>—</td> <td>—</td> </tr> <tr> <td>Chief Executive Officer and Chief Financial Officer</td> <td>2013</td> <td>\$169,922</td> <td>—</td> <td>—</td> <td>—</td> <td>—</td> </tr> <tr> <td>Michael Paul Strong,</td> <td>2013(1)</td> <td>\$102,000</td> <td>—</td> <td>—</td> <td>—</td> <td>—</td> </tr> <tr> <td>Vice President</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Name/Principal Position	Year	Salary (\$)	Bonus (\$)	Stock Awards	Options (\$)	Non-Equity Incentive Plan Co	Daniel Khesin,	2014	\$250,000(2)	—	—	—	—	Chief Executive Officer and Chief Financial Officer	2013	\$169,922	—	—	—	—	Michael Paul Strong,	2013(1)	\$102,000	—	—	—	—	Vice President						
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Thanks!

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