



## Example 6:

Search with few words before and few words after a phrase and  
Download Results in Excel

**Example 6(a):** Find **Business addresses** from 10Ks

**Example 6(b):** Find **Fiscal Year End** from 10Ks



## Example 6(a). Find **Business addresses** from 10Ks

- ◆ **Step 1:** Type the phrase **Business Address** in “**With the exact phrase**” slot and check the option **Display words before and after** with **0** before and **21** after the phrase (the search process is insensitive to upper or lower cases).
- ◆ **Step 2:** copy and paste a list of **CIKs** (e.g., 1145255 1028215 1305014 875582 276720 22444 6955 717954 1385508 1324772 19446 1403676 1379006 1553643 57725 1464165 82473 1508381 1029581 1303330 12239) in the slot of **COMPANY** separated by a space and select the desired time frame, say **2015**.
- ◆ **Step 3:** Select **Paragraph (All)**; **Step 4:** Select **10K**
- ◆ **Step 5:** Click **SUBMIT** button
- ◆ Next two pages show the input and output of information followed by a description on how to download all the results in an Excel file through **Request Form**.

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## Example 6(a). Input Information for Business addresses from 10Ks

### STEP 1: Please enter Phrase(s)/Keyword(s) you wish to search:

With the exact phrase:

The following features are for Single Phrase/Word only: (In case of Multiple Phrases/Words, please use the **Request Form** to submit the request.)

Phrase/Word Counter

Display words before & after

Works only for SINGLE Phrase/Word

Number of words before:  Number of words After:

Proximity Search:

Please input within how many words:

With all of the words:

With at least one of the words:

Without the words:

### STEP 2: Please select the options below (Default - ALL):

COMPANY:

From:  To:

SIC (Optional):

### STEP 3: Please select the search Resolution here:

- Paragraph(All)    SOX 404 Mgt Report  
 Table    SOX 404 Audit Report  
 Footnote    MD&A  
 Audit Report

### STEP 4: Please select the Document(s) you want to search here:

All

Select (Please [CLICK HERE](#) for Filing Description):  Un-Check All

Annual Reports    Check All Annual Reports    Un-Check All Annual Reports

10-K    10-KT    10-K405    10KT405    10KSB    10KSB40  
 10-K/A    10-KT/A    10-K405/A    10KT405/A    10KSB/A    10KSB40/A

#### Quarterly Reports & Annual Reports Foreign

10-Q    10-QT    10QSB    20-F    40-F  
 10-Q/A    10-QT/A    10QSB/A    20-F/A    40-F/A

#### Current Reports/Disclosures/Registrations

8-K    6-K    S-1    SC 13D    SC 13G  
 8-K/A    6-K/A    S-1/A    SC 13D/A    SC 13G/A

#### Ownership & Ownership Money Managers

Form 3    Form 4    Form 5    13F-HR    13F-NT  
 Form 3/A    Form 4/A    Form 5/A    13F-HR/A    13F-NT/A

#### Proxies & IPO Filings

DEF 14A    424B1    424B3    424B5    424B8  
 DEFA14A    424B2    424B4    424B7

#### Other Filings

N-CSR    N-CSRS    CORRESP (Comment Letters)    AAER\*\*  
 N-CSR/A    N-CSRS/A    UPLOAD (Response Letters)

#### Exhibits & Shareholders Letters

PRESS RELEASE    SHAREHOLDERS MEETINGS    EXHIBIT 95 (10-K)  
 CONFERENCE CALLS    SHAREHOLDERS LETTER\*    EXHIBIT 21 (10-K)  
 OTHER 8-K EX

#### Public Company Accounting Oversight Board

INSPECTION REPORTS\*\*    SETTLED DISCIPLINARY ORDERS\*\*  
 ADJUDICATED DISCIPLINARY ACTIONS\*\*

\*Beta Version: We are working on improving the accuracy of the letters

\*\*AAER & PCAOB do not have CIK's, they have File number and Firm number respectively

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## Example 6(a). Output display for **Business address** from 10K

**STEP 5: SUBMIT**

1 - 20 of 21 < >

Download Results

[View File](#)
[Download Raw File](#)
[Compare](#)

**BUSINESS ADDRESS:** STREET 1: 7250 REDWOOD BLVD. STREET 2: SUITE 200 CITY: NOVATO STATE: CA ZIP: 94945 BUSINESS PHONE: 415-899-1555 MAIL

**Word Distribution:**

Download Distribution

FILE ANALYSIS	
Total Word Count	37622
Total Word Count without numerics	34148
Sentence Count	1009
<a href="#">Gunning-Fog Index</a>	24.0
<a href="#">Smog Index</a>	20.556
<a href="#">Flesch Reading Ease</a>	16.973
<a href="#">Flesch-Kincaid Grade Level</a>	19.327
<a href="#">Automated Readability Index</a>	20.754
<a href="#">Coleman-Liau Index</a>	15.723

**HENNESSY ADVISORS INC**  
 CIK :1145255  
 SIC :6282  
 File Type :10-K  
 File Date :11-30-2015

**KMG CHEMICALS INC**  
 CIK :1028215  
 SIC :2800  
 File Type :10-K  
 File Date :11-27-2015

**ASHLAND INC.**  
 CIK :1305014  
 SIC :5160  
 File Type :10-K  
 File Date :11-20-2015

**NORTHERN TECHNOLOGIES INTERNATIONAL CORP**  
 CIK :875582  
 SIC :3470  
 File Type :10-K  
 File Date :11-13-2015

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## Example 6(a). Submitting a **Request Form** for downloading the Result

1. In order to download all the addresses in an Excel file, you need to submit a data request by selecting **Request Form** from the top menu bar of the Seek iNF webpage and filling out the details of the data needs (see below and subsequent slides).

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## Example 6(a). Steps in Submitting the **Request Form**

2. On the next page, you need to select **Snippet Download/Single Phrase** (see below).

A screenshot of the SeekINF website. At the top is a navigation bar with links: HOME, ABOUT US, SEEK INF (highlighted in red), FRAANK, FAQs, CONTACT US, and Welcome Srivastava (with a dropdown arrow). Below this is a secondary navigation bar with links: Recent Press and Other Releases, 10-K Exhibit 21(Subsidiaries), Search, Request Form (highlighted in red), Special Request, and Guidelines and Examples. Below the navigation bars is a section titled 'Click below to DOWNLOAD' with five options: Multiple Phrases/Proximity Search, Words before and after a Single Phrase (highlighted in yellow), Phrase(s)/Word(s) Count, Proximity Count, and File Analysis. A red arrow points to the 'Words before and after a Single Phrase' option. Below the options is a text box containing the text: 'Download Snippets of Words before and after a Single Phrase search criteria.' At the bottom of the screenshot is a disclaimer: 'Please note that this is a BETA version, the testing is still in progress. Please email us if you find any bugs or have any concerns at seekedgar@gmail.com. Thank you.' and the signature '- SeekEdgar Tech Team'.

3. After you select the option, you need to fill out the request form as given next.

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## Example 6(a). Steps in Submitting the Request Form

Click below to DOWNLOAD  
[Multiple Phrases/Proximity Search](#) | [Words before and after a Single Phrase](#) | [Phrase\(s\)/Word\(s\) Count](#) | [Proximity Count](#) | [File Analysis](#)

Please note that this is a BETA version, the testing is still in progress. Please email us if you find any bugs or have any concerns at [seekedgar@gmail.com](mailto:seekedgar@gmail.com). Thank you.  
 - SeekEdgar Tech Team

**Download Snippets of Words before and after a Single Phrase search criteria.**

Name:   
 Email:   
 Title: eg: Student/Professor/Manager etc  
 University/Company:

**Enter the information you want to search for:**

**STEP 1: Please enter the Phrase/Keyword you wish to search:**  
 Phrase:   
 20 words before and 20 words after

**STEP 2: Please select the options below (Default - ALL):**  
 COMPANY: All,  Name   
 From: 1994 To\*: 1998  
 \*(Beta Version : The time period is limited to five years maximum.)  
 SIC (optional):

**STEP 3: Please select the search Resolution here:**

- Paragraph(All)
- Table
- Footnote
- Audit Report
- SOX 404 Mgt Report
- SOX 404 Audit Report
- MD&A

**STEP 4: Please select the Document(s) you want to search here:**

All  
 Select:

**Annual Reports**

10-K	10-KT	10-KT05	10-KT405	10-KSB	10-KSB40
10-K/A	10-KT/A	10-KT/A	10-KT405/A	10-KSB/A	10-KSB40/A

**Quarterly Reports & Annual Reports**

10-Q	10-QT	10-QSB	20-F	40-F
10-Q/A	10-QT/A	10-QSB/A	20-F/A	40-F/A

**Current Reports, Exhibits, Registrations**

8-K	6-K	S-1	SC 13D	SC 13G
8-K/A	6-K/A	S-1/A	SC 13D/A	SC 13G/A

**Ownership & Ownership Money Managers**

Form 3	Form 4	Form 5	13F-HR	13F-NT
Form 3/A	Form 4/A	Form 5/A	13F-HR/A	13F-NT/A

**Proxies & IPO Filings**

DEF 14A	424B1	424B3	424B5	424B8
DEFA14A	424B2	424B4	424B7	

**Other Filings**

N-CSR	N-CSR/S	CORRESP(Comment Letters)	AAER**
N-CSR/A	N-CSR/S/A	UPLOAD(Response Letters)	

**Exhibits & Shareholders Letters**

PRESS RELEASE	SHAREHOLDERS MEETING	EXHIBIT 95(10-K)
CONFERENCE CALLS	SHAREHOLDERS LETTER*	EXHIBIT 21(10-K)
OTHER 8-K EX	OTHER 6-K EX	OTHER Form 425 EX

**Public Company Accounting Oversight Board**

INSPECTION REPORTS**	SETTLED DISCIPLINARY ORDERS**
ADJUDICATED DISCIPLINARY ACTIONS**	

**\*Beta Version: We are working on improving the accuracy of the letters**  
**\*\*AAER & PCAOB do not have CIK's, they have File number and Firm number respectively.**

**Fill out the Request Form**

**Step 5: Submit**

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## Example 6(a). Steps in Submitting the Request Form

Please note that this is a BETA version, the testing is still in progress. Please email us if you find any bugs or have any concerns at [seekedgar@gmail.com](mailto:seekedgar@gmail.com). Thank you.

- SeekEdgar Tech Team

Download Snippets of Words before and after a Single Phrase search criteria.

Name	Rajendra P. Srivastava
Email	<a href="mailto:seekedgar@gmail.com">seekedgar@gmail.com</a>
Title	EY Professor
University/Company	The University of Kansas

Enter the information you want to search for:

**STEP 1: Please enter the Phrase/Keyword you wish to search:**

Phrase:

words before and  words after

**STEP 2: Please select the options below (Default - ALL):**

COMPANY:

From:  To\*:

\*(Beta Version : The time period is limited to five years maximum.)

SIC (optional):

**STEP 3: Please select the search Resolution here:**

- Paragraph(All)
- Table
- Footnote
- Audit Report
- SOX 404 Mgt Report
- SOX 404 Audit Report
- MD&A

**STEP 4: Please select the Document(s) you want to search here:**

All  
 Select:

**Annual Reports**

<input checked="" type="checkbox"/> 10-K	<input type="checkbox"/> 10-KT	<input type="checkbox"/> 10-K405	<input type="checkbox"/> 10-KT405	<input type="checkbox"/> 10-KSB	<input type="checkbox"/> 10-KSB40
<input type="checkbox"/> 10-K/A	<input type="checkbox"/> 10-KT/A	<input type="checkbox"/> 10-K405/A	<input type="checkbox"/> 10-KT405/A	<input type="checkbox"/> 10-KSB/A	<input type="checkbox"/> 10-KSB40/A

**Quarterly Reports & Annual Reports Foreign**

<input type="checkbox"/> 10-Q	<input type="checkbox"/> 10-QT	<input type="checkbox"/> 10-QSB	<input type="checkbox"/> 20-F	<input type="checkbox"/> 40-F
<input type="checkbox"/> 10-Q/A	<input type="checkbox"/> 10-QT/A	<input type="checkbox"/> 10-QSB/A	<input type="checkbox"/> 20-F/A	<input type="checkbox"/> 40-F/A

**Current Reports/Disclosures/Registrations**

<input type="checkbox"/> 8-K	<input type="checkbox"/> 6-K	<input type="checkbox"/> S-1	<input type="checkbox"/> SC 13D	<input type="checkbox"/> SC 13G
<input type="checkbox"/> 8-K/A	<input type="checkbox"/> 6-K/A	<input type="checkbox"/> S-1/A	<input type="checkbox"/> SC 13D/A	<input type="checkbox"/> SC 13G/A

**Ownership & Ownership Money Managers**

<input type="checkbox"/> Form 3	<input type="checkbox"/> Form 4	<input type="checkbox"/> Form 5	<input type="checkbox"/> 13F-HR	<input type="checkbox"/> 13F-NT
<input type="checkbox"/> Form 3/A	<input type="checkbox"/> Form 4/A	<input type="checkbox"/> Form 5/A	<input type="checkbox"/> 13F-HR/A	<input type="checkbox"/> 13F-NT/A

**SEC & IBC Filings**

<input type="checkbox"/> DEF 14A	<input type="checkbox"/> 424B1	<input type="checkbox"/> 424B3	<input type="checkbox"/> 424B5	<input type="checkbox"/> 424B8
<input type="checkbox"/> DEF 14A	<input type="checkbox"/> 424B2	<input type="checkbox"/> 424B4	<input type="checkbox"/> 424B7	

**Other Filings**

<input type="checkbox"/> N-CSR	<input type="checkbox"/> N-CSR/S	<input type="checkbox"/> CORRESP(Comment Letters)	<input type="checkbox"/> AAER**
<input type="checkbox"/> N-CSR/A	<input type="checkbox"/> N-CSR/S/A	<input type="checkbox"/> UPLOAD(Response Letters)	

**Exhibits & Shareholders Letters**

<input type="checkbox"/> PRESS RELEASE	<input type="checkbox"/> SHAREHOLDERS MEETING	<input type="checkbox"/> EXHIBIT 95(10-K)
<input type="checkbox"/> CONFERENCE CALLS	<input type="checkbox"/> SHAREHOLDERS LETTER*	<input type="checkbox"/> EXHIBIT 21(10-K)
<input type="checkbox"/> OTHER 8-K EX	<input type="checkbox"/> OTHER 6-K EX	<input type="checkbox"/> OTHER Form 425 EX

**Public Company Accounting Oversight Board**

<input type="checkbox"/> INSPECTION REPORTS**	<input type="checkbox"/> SETTLED DISCIPLINARY ORDERS**
<input type="checkbox"/> ADJUDICATED DISCIPLINARY ACTIONS**	

Filled out Request Form with Specific Data Needs

\*Beta Version: We are working on improving the accuracy of the letters  
 \*\*AAER & PCAOB do not have CIK's, they have File number and Firm number respectively

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## Example 6(a). Steps in Submitting the Request Form

Message related to **Request Form** after submission

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Please note that this is a BETA version, the testing is still in progress. Please email us if you find any bugs or have any concerns at [seekedgar@gmail.com](mailto:seekedgar@gmail.com). Thank you.

- SeekEdgar Tech Team

Thank you for submitting the request. You would receive an email shortly with the information you requested, for your reference. Please wait until you receive the results before submitting another request. Our system processes only one request per user at a time.

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## Example 6(a). Steps in Submitting the Request Form

The screenshot shows an email interface with the following content:

**Your Request for SeekEdgar** [Inbox x]

seekedgartech@gmail.com 12:49 PM (40 minutes ago)

to me, me, naaser06

Hi Rajendra Srivastava,

You have requested for SinglePhrase with the following search information.

**With Exact Phrase :** Business address

**Number of Words Before :** 0 **Number of Words After :** 1

**Company :** 1145255 1028215 1305014 875582 1385508 1324772 19446 1403676 1379006  
1553643 57725 1464165 82473 1508381 12239

**From :** 2015 **To :** 2015

**Resolution Type :** p

**Filings :** 1

Thank you for your request. We will complete your search request and get back to you with results as soon as possible.  
**Please wait until you receive the results before submitting another request.** Our system processes only one request per user at a time.

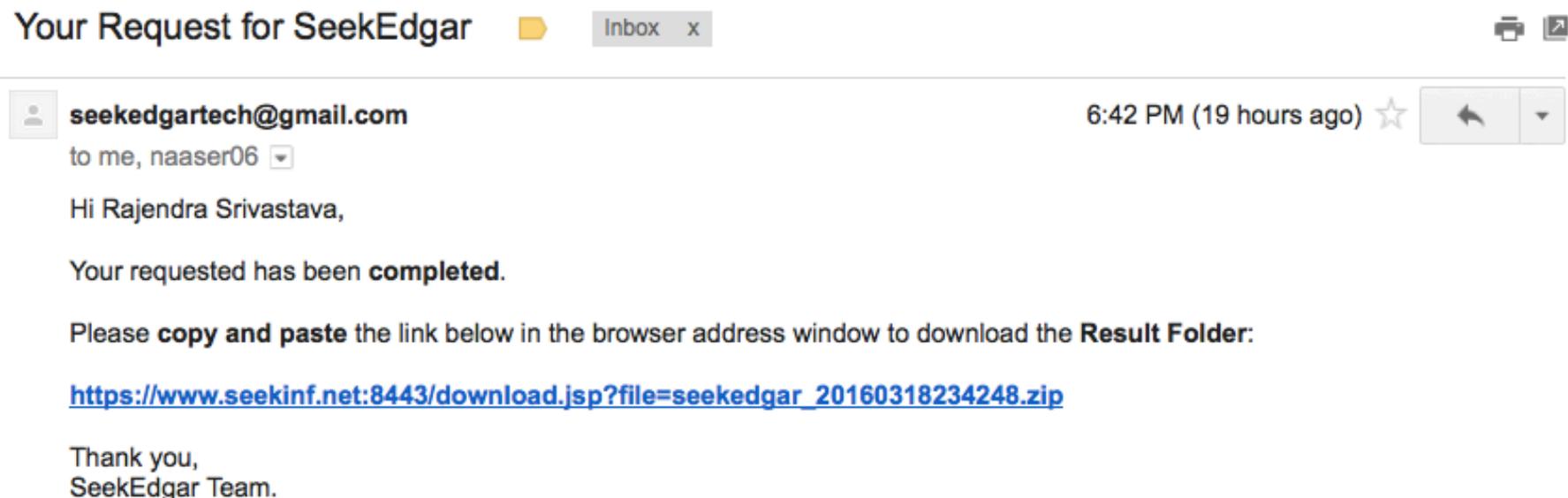
Thank you,  
SeekEdgar Team.

*Blue diagonal stamp: Email Confirming the Receipt of the Request Form*



## Example 6(a). Data Received in a Zipped File

Email informing that the requested data is ready to be downloaded.



The above process will download the data. Save it in your computer. The data is in a zipped folder. Unzip the folder and open it. The results are as shown in the next slide.





## Example 6(b). Finding **Fiscal Year End** from 10Ks

- ◆ Use the phrase **Fiscal Year End** in the exact phrase slot with **0** word before and **1** word after.
- ◆ The screenshot of the middle display window in the next slide shows the information on **Fiscal Year End** obtained from 10K of year 2015 for a set of companies with CIK Codes: 1057060 1373690 1429764 788329 1046050.
- ◆ The next slide is the screenshot of the display window and below the screenshot is the download of the results in Excel file.
- ◆ **You need to submit a request** similar to Example 6(a) to get the download of all the companies' result.
- ◆ As you can see from Examples 6(a) and 6(b), this feature can capture any financial or non-financial information with user's defined criteria.
- ◆ The best way to design this search would be to first look at few cases and identify the pattern the way information is presented in most of the filings and then design your search.

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## Example 6(b). Finding Fiscal Year End from 10Ks

1 - 6 of 6 < >  
Download Results

**MARINEMAX INC**  
CIK :1057060  
SIC :5531  
File Type :10-K  
File Date :12-08-2015

**AMERICAN PARAMOUNT GOLD CORP.**  
CIK :1373690  
SIC :1000  
File Type :10-K  
File Date :12-08-2015

**Car Charging Group, Inc.**  
CIK :1429764  
SIC :3612  
File Type :10-K  
File Date :12-08-2015

[View File](#)

[Download Raw File](#)

[Compare](#)

FISCAL YEAR END: 0930

Word Distribution:

[Download Distribution](#)

FILE ANALYSIS	
Total Word Count	51010
Total Word Count without numerics	47281
Sentence Count	1531
Gunning-Fog Index	22.8
Smog Index	19.798
Flesch Reading Ease	15.111
Flesch-Kincaid Grade Level	18.834
Automated Readability Index	19.852
Coleman-Liau Index	16.489

Fiscal Year End in Excel File through Request Form

	A	B	C	D	E	G
1	CIK	COMPANY NAME	SIC	FILING DATE	FILE TYPE	
2						
3	1057060	MARINEMAX INC	5531	12/8/15	10-K	FISCAL YEAR END: 0930
4	1373690	AMERICAN PARAMOUNT GOLD CORP.	1000	12/8/15	10-K	FISCAL YEAR END: 0831
5	1429764	Car Charging Group, Inc.	3612	12/8/15	10-K	FISCAL YEAR END: 1231
6	788329	JOHNSON OUTDOORS INC	3949	12/8/15	10-K	FISCAL YEAR END: 0930

Seek*IN*F<sup>SM</sup>

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*Thanks!*

Email: [rsrivastava@seekedgar.com](mailto:rsrivastava@seekedgar.com)