



Example 9:

Unique Financial Information

Find unique line item from FS

- Air Traffic Liability
- R&D Tax Credit | Research and Development Tax Credit
 - Off Balance Sheet items
 - Fuel Hedge Contracts

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Example 9 – Unique Financial Information from 10K

There are many unique financial line items that are not available in any of the current databases. For example, suppose you want to find **Air Traffic Liability** for airline companies for the year 2015. Here is what you can do to get this information:

- ◆ **Step 1:** Type the phrase **Air Traffic Liability** in the slot “With the exact phrase”
- ◆ **Step 2:** Since we want all the companies, leave the default choice “**All**” and select the desired time frame **2015-2015**.
- ◆ **Step 3:** Select **Table**
- ◆ **Step 4:** Select **10K**
- ◆ **Step 5:** Click on **SUBMIT** button

You can download all the data in Excel Spreadsheet two ways, one directly from the display window by selecting the menu item “**Display Table in CSV**” just above the display window on the right for each company, and the other by submitting a **Request Form** as done in Example 5 (see the next 4 slides for details).

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Example 9 – Unique Financial Information . . . (Continued)

STEP 1: Please enter Phrase(s)/Keyword(s) you wish to search:

With the exact phrase:

The following features are for Single Phrase/Word only: (In case of Multiple Phrases/Words, please use the **Request Form** to submit the request.)

Phrase/Word Counter

Display words before & after

Number of words before: Number of words After:

Proximity Search:

Please input within how many words:

With all of the words:

With at least one of the words:

Without the words:

STEP 2: Please select the options below (Default - ALL):

COMPANY: All,

From: 2015 To: 2015

SIC (Optional):

STEP 3: Please select the search Resolution here:

- Paragraph(All) SOX 404 Mgt Report
 Table SOX 404 Audit Report
 Footnote MD&A
 Audit Report

STEP 4: Please select the Document(s) you want to search here:

- All
 Select (Please [CLICK HERE](#) for Filing Description): Un-Check All
- Annual Reports** Check All Annual Reports Un-Check All Annual Reports
 10-K 10-KT 10-K405 10KT405 10KSB 10KSB40
 10-K/A 10-KT/A 10-K405/A 10KT405/A 10KSB/A 10KSB40/A
- Quarterly Reports & Annual Reports Foreign**
 10-Q 10-QT 10QSB 20-F 40-F
 10-Q/A 10-QT/A 10QSB/A 20-F/A 40-F/A
- Current Reports/Disclosures/Registrations**
 8-K 6-K S-1 SC 13D SC 13G
 8-K/A 6-K/A S-1/A SC 13D/A SC 13G/A
- Ownership & Ownership Money Managers**
 Form 3 Form 4 Form 5 13F-HR 13F-NT
 Form 3/A Form 4/A Form 5/A 13F-HR/A 13F-NT/A
- Proxies & IPO Filings**
 DEF 14A 424B1 424B3 424B5 424B8
 DEFA14A 424B2 424B4 424B7
- Other Filings**
 N-CSR N-CSRS CORRESP (Comment Letters) AAER**
 N-CSR/A N-CSRS/A UPLOAD (Response Letters)
- Exhibits & Shareholders Letters**
 PRESS RELEASE SHAREHOLDERS MEETINGS EXHIBIT 95 (10-K)
 CONFERENCE CALLS SHAREHOLDERS LETTER* EXHIBIT 21 (10-K)
 OTHER 8-K EX
- Public Company Accounting Oversight Board**
 INSPECTION REPORTS** SETTLED DISCIPLINARY ORDERS**
 ADJUDICATED DISCIPLINARY ACTIONS**

*Beta Version: We are working on improving the accuracy of the letters

**AAER & PCAOB do not have CIK's, they have File number and Firm number respectively

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Example 9 – Unique Financial Information . . . (Continued)

1 - 10 of 10 < >

Download Results

Virgin America Inc.

 CIK :1614436
 SIC :4512
 File Type :10-K
 File Date :03-03-2015

Allegiant Travel CO

 CIK :1362468
 SIC :4512
 File Type :10-K
 File Date :02-26-2015

AMERICAN AIRLINES INC

 CIK :4515
 SIC :4512
 File Type :10-K
 File Date :02-25-2015

American Airlines Group Inc.

 CIK :6201
 SIC :4512
 File Type :10-K
 File Date :02-25-2015

Spirit Airlines, Inc.

 CIK :1498710
 SIC :4512
 File Type :10-K
 File Date :02-18-2015

JETBLUE AIRWAYS CORP

 CIK :1158463
 SIC :4512
 File Type :10-K
 File Date :02-12-2015

	December 31,	
	2014	2013
Liabilities and stockholders' equity (deficit)		
Current liabilities:		
Accounts payable	\$ 52,821	\$ 43,997
Air traffic liability	150,479	138,890
Other current liabilities	100,723	73,752
Long-term debt-current portion	33,824	—
Total current liabilities	337,847	256,639
Long-term debt-related parties	38,848	707,969
Long-term debt	57,416	39,462
Other long-term liabilities	106,812	59,547
Total liabilities	540,923	1,063,617
Contingencies and commitments (Note 9)		
Convertible preferred stock, \$0.01 par value. No shares authorized, no shares issued and outstanding as of December 31, 2014; authorized 1,109,812 shares, 1,109,811 issued and outstanding as of December 31, 2013; liquidation value \$12,000 as of December 31, 2013	—	21,406
Stockholders' equity (deficit)		
Preferred stock, \$0.01 par value per share. 10,000,000 shares authorized, 0 shares issued and outstanding as of December 31, 2014; no shares authorized, no shares issued and outstanding as of December 31, 2013	—	—
Common stock, \$0.01 par value. Authorized: 750,000,000 (Voting 650,000,000, Non-Voting 100,000,000) shares as of December 31, 2014, 107,260,432 (Class A 56,630,503, Class A-1 29,143, Class B 924,867, Class C 47,688,845, Class D 13, Class E 13, Class F 13, Class G 1,987,035) shares as of December 31, 2013; Issued and outstanding: 43,119,886 (Voting 36,267,148, Non-Voting 6,852,738) shares as of December 31, 2014; 812,952 (Class A 248,308, Class A-1 29,143, Class B 424,221, Class C 0, Class D 13, Class E 13, Class F 13, Class G 111,241) shares as of December 31, 2013	431	8
Additional paid-in capital	1,237,944	427,434
Accumulated deficit	(753,016)	(813,125)
Accumulated other comprehensive income (loss)	(26,106)	1,656
Total stockholders' equity (deficit)	459,253	(384,027)
Total liabilities and stockholders' equity (deficit)	\$ 1,000,176	\$ 700,996

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Example 9 – Unique Financial Information . . . (Continued)

H12							
	A	B	C	D	E	F	G
1	COMPANY NAME	CIK	SIC	FILE TYPE	FILING DATE		
2	Virgin America Inc.	1614436	4512	10-K	20150303		
3							
4							
5	TABLE SNIPPET -- 1						
6							
7		December 31,					
8		2014		2013			
9	Liabilities and stockholders' equity (deficit)						
10	Current liabilities:						
11	Accounts payable		\$ 52,821		\$ 43,997		
12	Air traffic liability		150,479		138,890		
13	Other current liabilities		100,723		73,752		
14	Long-term debt-current portion		33,824		0		
15							
16	Total current liabilities		337,847		256,639		
17	Long-term debt-related parties		38,848		707,969		
18	Long-term debt		57,416		39,462		
19	Other long-term liabilities		106,812		59,547		
20							
21	Total liabilities		540,923		1,063,617		
22							

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Example 9 – Unique Financial Information . . . (Continued)

The Request Form provides you data in CSV file that can be opened in Excel. Here are the numbers for two years from the table for [Virgin America Inc.](#):

	2014	2015
◆ Air traffic liability	\$150,479	\$138,890

Our system is unique. One can search by key words even for financial information. Here are few other examples that you should try:

- ◆ R&D Tax Credit | Research and Development Tax Credit in 10K using Table
- ◆ Off Balance Sheet items in 10Ks using Table
- ◆ Fuel Hedge Contracts in 10Ks using Table

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1 - 20
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Result of "R&D Tax Credit | Research and Development Tax Credit" Search in Exact Phrases.

ANAVEX LIFE SCIENCES CORP.

CIK :1314052
SIC :8731
File Type :10-K
File Date :12-29-2015

HEICO CORP

CIK :46619
SIC :3724
File Type :10-K
File Date :12-17-2015

Corium International, Inc.

CIK :1594337
SIC :2834
File Type :10-K
File Date :12-16-2015

	2015	2014 <i>(As Restated)</i>
Income benefit at statutory rate of 34%	\$ (4,117,000)	\$ (3,865,000)
Foreign income taxed at other rates	80,000	13,000
Permanent differences		
Effect of stock based compensation	-	202,000
Debt extinguishment	(29,000)	2,736,000
Mark-to-market derivative liability adjustment	193,000	(994,000)
Non-deductible finance and accretion expenses	1,511,000	808,000
Other permanent differences	(5,000)	(16,000)
Research and development tax credit	502,000	(26,000)
Adjustment and true up to prior years' tax provision	100,000	14,000
Change in valuation allowance related to current year provision	1,765,000	2,528,000
Income Tax Recovery	\$ -	\$ 1,400,000

ANAVEX LIFE SCIENCES CORP.

CIK :1314052
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File Type :10-K
File Date :12-17-2015

Corium International, Inc.

CIK :1594337
SIC :2834
File Type :10-K
File Date :12-16-2015

MICROWAVE FILTER CO INC /NY/

CIK :716688
SIC :3679
File Type :10-K
File Date :12-14-2015

ENANTA PHARMACEUTICALS INC

CIK :1177648

	Years Ended September 30,	
	2015	2014
Income tax expense (benefit) at statutory rate	\$(1,502)	\$ (582)
State income tax expense (benefit)	(131)	(53)
Foreign tax activity	56	40
R&D tax credit expiration	-	82
Permanent differences, net	189	212
Adjustment of temporary differences to income tax returns	523	121
Change in valuation allowance	972	1,284
Income tax expense	\$ 107	\$1,104

The significant components of the deferred tax accounts recognized for financial reporting purposes are as follows (in

WORD DISTRIBUTION

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FILE ANALYSIS	
Total Word Count	9764
Total Word Count without numerics	8003
Sentence Count	211
Gunning-Fog Index	26.8
Smog Index	22.687
Flesch Reading Ease	-0.721
Flesch-Kincaid Grade Level	22.794
Automated Readability Index	24.837
Coleman-Liau Index	18.312

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Thanks!

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